

# Greedy Algorithms

- A commonly used paradigm for combinatorial algorithms.
- Informally, in “combinatorial” problems, feasible solutions are subsets of discrete input set, so enumerable in exponential time (say,  $O(2^n)$ ). Greedy algorithms find the optimal by searching only a tiny fraction of this space.
- A precise definition is difficult, but informally an algorithm uses “greedy design principle” if it makes a series of choices, and each choice is locally optimal.
- Why should one expect such a myopic strategy to succeed? Indeed, when greedy strategy works, it says something interesting about the structure(nature) of the problem itself!

## Making Change

- The coins in US come in four denominations: 25, 10, 5, 1.
- The “change making” problem is to determine how to convert any amount into minimum number of coins.
- Given an integer  $X \in \{0, 1, \dots, 99\}$ , find a combination of coins that sum to  $X$  using the least number of coins.
- Formally, find integers  $a, b, c, d$  with minimum sum  $(a+b+c+d)$  so that  $X = 25a + 10b + 5c + 1d$

```
In [25]: def makeChange(target: int, coins: list) -> list:
          coins.sort(reverse=True)
          numCoins = []

          for coin in coins:
              numCoins.append({"quantity": target // coin, "coin": coin})
              target -= target // coin * coin

              if not target:
                  break

          if target != 0:
              raise ValueError(
                  "Greedy Algorithm cannot make change with target={} and coins={}"
                  .format(target, coins))

          return numCoins

makeChange(73, [25, 10, 5, 1])
```

```
Out[25]: [{'quantity': 2, 'coin': 25},
           {'quantity': 2, 'coin': 10},
           {'quantity': 0, 'coin': 5},
           {'quantity': 3, 'coin': 1}]
```

## Interval Scheduling

- Input: a list of  $N$  activities that we want to schedule on a single resource.
- Each activity specified by a start and an end time; only one activity can be scheduled on the resource at a time, and each scheduled activity uses the resource continuously between its start and end time.
- What is the maximum possible number of activities we can schedule?
- Formally, activities is a set  $S = \{1, 2, \dots, n\}$ , where each activity is specified by its start-end time tuple  $(s(i), f(i))$ , with  $s(i) \leq f(i)$ .
- This is a combinatorial problem: output is a subset of  $\{1, 2, \dots, n\}$ .
- A feasible schedule is a subset in which no two activities overlap.
- Objective: find a feasible schedule of maximum size (number of activities).

### Algorithm

- The correct strategy is to process jobs in the Earliest Finish Time order.
- That is, sort the jobs in the increasing order of their finish time. We assume that jobs are given in this order (by simple relabeling):  $f(j_1) \leq f(j_2) \leq f(j_3) \dots \leq f(j_n)$

### Proof of Correctness

- **Lemma:** For any  $i \leq k$ , we have that  $f(a_i) \leq f(b_i)$ . (i.e.  $i$ th job in greedy finishes no later than the  $i$ th job in the optimal.)
- **Proof:**
  1. True for  $i = 1$ , by the design of greedy.
  2. Inductively assume this is true for all jobs up to  $i - 1$ , and prove it for  $i$ .
  3. The induction hypothesis says that  $f(a_{i-1}) \leq f(b_{i-1})$ .
  4. Since  $f(b_{i-1}) \leq s(b_i)$ , we must also have  $f(a_{i-1}) \leq s(b_i)$ .
  5. So, the  $i$ th job selected by optimal is also available to the greedy as its  $i$ th job candidate, so whatever job greedy picks it must have  $f(a_i) \leq f(b_i)$ .
  6. This proves the lemma.
- **Theorem:** The greedy solution is optimal for the activity selection problem.
- **Proof:**
  1. By contradiction. Suppose  $A$  is not optimal, and so  $OPT$  must have more jobs than  $A$ . That is,  $m > k$ .
  2. Consider what happens when  $i = k$  in our lemma. We have that  $f(a_k) \leq f(b_k)$ . So, the greedy's last job has finished by the time  $OPT$ 's  $k$ th job finishes.
  3. If  $m > k$ , there is some job that optimal accepts after  $k$ , and that job is also available to Greedy; it cannot conflict with anything greedy has scheduled.
  4. Because the greedy does not stop until it no longer has any acceptable jobs left, this is a contradiction.

### Runtime

- Sorting the jobs takes  $O(n \log(n))$ .
- After that, the algorithm makes one scan of the list, spending constant time per job =  $O(n)$ .
- So total time complexity is  $O(n \log(n)) + O(n) = O(n \log(n))$ .

```
In [37]: def maxActivities(activityList: list) -> dict:
    sortedList = sorted(activityList, key=lambda x: x[1])
    prevEndTime = 0
    activities = list()

    for activity in sortedList:
        if activity[0] >= prevEndTime:
            activities.append(activity)
            prevEndTime = activity[1]

    return {"length" : len(activities), "activities" : activities}

maxActivities([(3,6),(1,4),(4,10),(6,8),(0,2)])
```

```
Out[37]: {'length': 3, 'activities': [(0, 2), (3, 6), (6, 8)]}
```

## Interval Partitioning

- Given a set of activities, schedule them all using a minimum number of machines.

### Algorithm

- Sort activities by start time.
- Start Room 1 for activity 1.
- For  $i = 2$  to  $n$ , if activity  $i$  can fit in any existing room, schedule it in that room.

### Proof of Correctness

- Define depth of input set as the maximum number of activities that are concurrent at any time. Let depth be  $D$ .
- Optimal must use at least  $D$  rooms because a single room can only house 1 activity and there are  $D$  concurrent activities that all need different rooms.
- Greedy uses no more than  $D$  rooms because a new room is only created when existing rooms are full, meaning the maximum concurrent amount will be the maximum number of rooms created.

### Runtime

- Sorting the jobs takes  $O(n \log(n))$ .
- After that, the algorithm makes one scan of the list, spending a constant operation to check for an open room, and  $O(\log(n))$  operations to insert the a new room, or replace an existing room =  $O(n \log(n))$ .
- So total time complexity is  $O(n \log(n)) + O(n \log(n)) = O(n \log(n))$ .

```
In [6]: import heapq

def minPartitions(activityList: list) -> dict:
    if not activityList:
        return 0

    sortedList = sorted(activityList, key=lambda x: x[0])
    endTimes = []
    heapq.heappush(endTimes, sortedList[0][1])

    for i in range(1, len(sortedList)):
        activity = sortedList[i]

        if activity[0] >= endTimes[0]:
            heapq.heappushpop(endTimes, activity[1])
        else:
            heapq.heappush(endTimes, activity[1])

    return {"count": len(endTimes)}

minPartitions([(1,6),(8,13),(15,42),(1,21),(25,31),(35,42)])
```

```
Out[6]: {'count': 2}
```

## Huffman Codes

- Goal: encode characters in as few characters as possible
- With variable encoding length, higher frequency characters can be encoded in shorter bitstrings for higher compression
- Prefix Codes: no codeword can be a prefix of another word
- Encode in a binary tree: characters are leaves and branches are bits (path to leaf is binary encoding)
- Huffman codes are only good at encoding static characters. Dynamic data and words have better encoding methods.

## Measuring Optimality

- Let  $C$  be the input alphabet (set of distinct characters).
- Let  $f(p)$  be the frequency of letter  $p$  in  $C$ .
- Let  $T$  be the tree for a prefix code, and  $d_T(p)$  the depth of  $p$  in  $T$ .
- The number of bits (bit complexity) needed to encode our file using this code is:

$$B(T) = \sum_{p \in C} f(p) d_T(p)$$

- We want a code that achieves the minimum possible value of  $B(T)$ .

**Optimal Tree Property:** Tree corresponding to optimal code must be full: that is, each internal node has two children. Otherwise we can improve the code.

## Huffman's Algorithm

- The algorithm best understood as building the binary tree  $T$  that represents its codes.

- Initially, each letter represented by a single-node tree, whose weight equals the letter's frequency.
- Huffman repeatedly chooses the two smallest trees (by weight), and merges them. The new tree's weight is the sum of the two children's weights.
- If there are  $n$  letters in the alphabet, there are  $n - 1$  merges

### Proof of Optimality

- We will use induction on the size of the alphabet  $|C|$ .
- The base case of  $|C| = 2$  is trivial: we have a depth 1 tree, with two leaves, each with code length 1.
- In general, assume induction holds for  $|C| = n - 1$ , and prove for  $|C| = n$ .
- Take the last two characters  $x_{n-1}$  and  $x_n$ , combine them into a single new character  $z$  with freq.  $f(z) = f(x_{n-1}) + f(x_n)$ .
- With  $x_{n-1}, x_n$  removed and replaced with  $z$ , we have a set of size  $|C'| = n - 1$ .
- By induction, we find the optimal code tree of  $C'$ . This tree has  $z$  at some leaf.
- To obtain tree for  $C$ , we attach nodes  $x_{n-1}$  and  $x_n$  as children of  $z$ .
- We will show that given optimal tree for  $C'$ , this new tree is optimal for  $C$ .
- Still one problem: in our construction, the nodes  $x_{n-1}$  and  $x_n$  will necessarily end up as siblings. (That is, the codes for these two will be identical except in the last bit.)
- How can we choose  $x_{n-1}$  and  $x_n$  at the onset so that in the optimal tree they are guaranteed to have this property? This is where Huffman's greedy choice enters the proof: we will choose two lowest freq. characters.

### Lemma:

- Suppose  $x$  and  $y$  are two letters of lowest frequency. Then, there exists an optimal prefix code in which codewords for  $x$  and  $y$  have the same (and maximum) length and they differ only in the last bit.

### Proof:

- Start with an optimal prefix code tree  $T$ , and modify it so  $x$  and  $y$  are sibling leaves of max depth, without increasing total cost.
- In modified tree,  $x$  and  $y$  have the same code length, different only in the last bit.
- Assume optimal tree does not satisfy the claim, and suppose that  $a$  and  $b$  are the two characters that are sibling leaves of max depth in  $T$ .
- Without loss of generality, assume that  $f(a) \leq f(b)$  and  $f(x) \leq f(y)$
- We have  $f(x) \leq f(a)$  and  $f(y) \leq f(b)$ . ( $x, y, a, b$  need not all be distinct.)
- First transform  $T$  into  $T'$  by swapping the positions of  $x$  and  $a$
- Since  $d_T(a) \geq d_T(x)$  and  $f(a) \geq f(x)$ , swap does not increase freq \* depth cost:

$$\begin{aligned}
 B(T) - B(T') &= \sum_p [f(p)d_T(p)] - \sum_p [f(p)d_{T'}(p)] \\
 &= [f(x)d_T(x) + f(a)d_T(a)] - [f(x)d_{T'}(x) + f(a)d_{T'}(a)] \\
 &= [f(x)d_T(x) + f(a)d_T(a)] - [f(x)d_T(a) + f(a)d_T(x)] \\
 &= [f(a) - f(x)] * [d_T(a) - d_T(x)] \\
 &\geq 0
 \end{aligned}$$

- Next, transform  $T'$  into  $T''$  by exchanging  $y$  and  $b$ , which also does not increase cost.
- So, we get that  $B(T'') \leq B(T') \leq B(T)$ . If  $T$  was optimal, so is  $T''$ , but in  $T''$   $x$  and  $y$  are sibling leaves at the max depth.

### Proof of optimality:

- Let  $T_1$  be the optimal tree (induction) for  $C + \{z\} - \{x, y\}$ .
- We obtain our final tree  $T$  by attaching leaves  $x, y$  as children of  $z$ .
- What is the connection between costs of  $B(T)$  and  $B(T_1)$ ?
- For all  $p \neq x, y$  depth is the same in both trees, so no difference. For  $x, y$ , we have  $d_T(x) = d_T(y) = d_{T_1}(z) + 1$ . So, the cost increase from modifying  $T_1$  to  $T$  is:  
 $B(T) - B(T_1) = f(x) + f(y)$  because  
 $f(x)d_T(x) + f(y)d_T(y) = [f(x) + f(y)] * [d_{T_1}(z) + 1] = f(z)d_{T_1}(z) + [f(x) + f(y)]$
- The rest of the argument is via contradiction.
- Suppose  $T$  is not an optimal prefix code, and another tree  $T_0$  is claimed to be optimal, meaning  $B(T_0) < B(T)$ .
- By previous lemma,  $T_0$  has  $x$  and  $y$  as siblings. Imagine replacing parent of  $x, y$  with a new leaf  $z$ , with freq.  $f(z) = f(x) + f(y)$ , and call this new tree  $T'_1$ .
- Then,  $B(T'_1) = B(T') - f(x) - f(y) < B(T) - f(x) - f(y) < B(T_1)$  which contradicts the claim that  $T_1$  is an optimal prefix code for  $C' = C + \{z\} - \{x, y\}$ .

### Time Complexity

- Time complexity is  $O(n \log n)$ . Initial sorting plus  $n$  heap operations.

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## Horn Formulas

- Form of boolean logic, and often used in AI systems for logical reasoning.
- Each boolean variable represents an event (or possibility), such as
- $x$  = the murder took place in the kitchen
- $y$  = the butler is innocent
- $z$  = the colonel was asleep at 8pm.
- Recall that Boolean variable can only take one of two values  $\{true, false\}$ , and a literal is either a variable  $x$  or its negation  $\bar{x}$

Constraints among variables represented by two kinds of clauses:

1. Implication: Left-hand-side is an AND of any number of positive literals, and right-hand-side is a single positive literal.  $(z \cap u) \rightarrow x$  It asserts that “if the colonel was asleep at 8 pm, and the murder took place at 8pm, then the murder took places in the kitchen.” A degenerate statement of the type  $\rightarrow x$  means that  $x$  is unconditionally true. For instance, “the murder definitely occurred in the kitchen.”
  2. Negative: Consists of an OR of any number of negative literals, as in  $(\bar{u} \cup \bar{t} \cup \bar{y})$ , where  $u, t, y, resp.$ , means that constable, colonel, and butler is innocent. This clause asserts that “they can’t all be innocent.”
- A Horn formula is a set of implications and negative clauses.
  - Problem: Given a Horn formula, decide if it is satisfiable, namely, is there an as-ignment of variables so that all clauses are satisfied. Such an assignment is called asatisfying assignment.

### Examples:

- The Horn formula  $\rightarrow x, \rightarrow y, x \wedge u \rightarrow z, \bar{x} \vee \bar{y} \vee \bar{z}$  has a satisfying assignment  $u = 0, x = 1, y = 1, z = 0$ .
- But the formula  $\rightarrow x, \rightarrow y, x \wedge y \rightarrow z, \bar{x} \vee \bar{y} \vee \bar{z}$  is not satisfiable.

### Algorithm

- Brute force approach would take  $2^n$  to account for powerset of inputs.
- The nature of Horn clauses suggests a natural greedy algorithm:
- Initially set all variables to false.
- While there is an unsatisfied Implication clause, set its RHS to true.
- If all pure negative clauses are satisfied, return the assignment; otherwise, formula is not satisfiable.

### Correctness Proof

- Clearly, if the algorithm returns a satisfying assignment, then it is a valid assignment because it satisfies all negative and implication clauses.
- To show that if the algorithm does not find a satisfying assignment, there is none, we observe that the algorithm maintains the following invariant. If a certain set of variables is set to true, then they must be true in any satisfying assignment. Namely, we only set a variable true when it is forced upon us.

### Time Complexity

- With some care the greedy algorithm can be implemented in linear time (in the length of the formula).

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### Set Cover

- Input is a (ground) set of  $n$  elements  $B = \{1, 2, \dots, n\}$  and a collection of  $m$  subsets  $S = \{S_1, S_2, \dots, S_m\}$ , with each  $S_i \subseteq B$ .
- The problem is to choose the smallest number of subsets whose union is  $B$ .
- Example:  $B = \{1, 2, 3, 4, 5\}$ , and  $\{\{1, 2, 3\}, \{2, 4\}, \{3, 4\}, \{4, 5\}\}$ . One can cover all items by choosing all four sets, but sets  $\{1, 2, 3\}, \{4, 5\}$  suffice.

### Algorithm

- Repeat until all elements of  $B$  are covered: pick the set  $S_i$  containing the largest number of still-uncovered elements.

### Runtime

- If the optimal solution uses  $k$  sets, the greedy uses  $O(k \ln(n))$  sets.

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## Dijkstra's Algorithm

1. Let  $S$  be the set of explored nodes.
2. Let  $d(u)$  be the shortest path distance from  $s$  to  $u$ , for each  $u \in S$ .
3. Initially  $S = \{s\}$ ,  $d(s) = 0$ , and  $d(u) = \infty$ , for all  $u \neq s$ .
4. While  $S \neq V$  do
5. Select  $v \notin S$  with the minimum value of  $d'(v) = \min_{(u,v), u \in S} d(u) + \text{cost}(u, v)$
6. Add  $v$  to  $S$ , set  $d(v) = d'(v)$ .

### Correctness Proof

1. Argue that at any time  $d(v)$  is the shortest path distance to  $v$ , for all  $v \in S$ .
2. Consider the instant when node  $v$  is chosen by the algorithm. Let  $(u, v)$  be the edge, with  $u \in S$ , that is incident to  $v$ .
3. Suppose, for the sake of contradiction, that  $d(u) + \text{cost}(u, v)$  is not the shortest path distance to  $v$ . Instead a shorter path  $P$  exists to  $v$ .
4. Since that path starts at  $s$ , it has to leave  $S$  at some node. Let  $x$  be that node, and let  $y \notin S$  be the edge that goes from  $S$  to  $\bar{S}$ .
5. So our claim is that  $\text{length}(P) = d(x) + \text{cost}(x, y) + \text{length}(y, v)$  is shorter than  $d(u) + \text{cost}(u, v)$ . But note that the algorithm chose  $v$  over  $y$ , so it must be that  $d(u) + \text{cost}(u, v) \leq d(x) + \text{cost}(x, y)$ .
6. In addition, since  $\text{length}(y, v) > 0$ , this contradicts our hypothesis that  $P$  is shorter than  $d(u) + \text{cost}(u, v)$ .
7. Thus, the  $d(v) = d(u) + \text{cost}(u, v)$  is correct shortest path distance.

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## Kruskal's Algorithm

1. If the shortest edge connects two previously unconnected vertices, add that edge to the spanning tree.
2. Continue repeating step 1 until all the vertices are connected.

### Correctness Proof

1. For simplicity, assume that all edge costs are distinct so that the MST is unique. Otherwise, add a tie-breaking rule to consistently order the edges.
2. Proof by contradiction: let  $(v, w)$  be the first edge chosen by Kruskal that is not in the optimal MST.
3. Consider the state of the Kruskal just before  $(v, w)$  is considered.
4. Let  $S$  be the set of nodes connected to  $v$  by a path in this graph. Clearly,  $w \notin S$ .

5. The optimal MST does not contain  $(v, w)$  but must contain a path connecting  $v$  to  $w$ , by virtue of being spanning.
6. Since  $v \in S$  and  $w \notin S$ , this path must contain at least one edge  $(x, y)$  with  $x \in S$  and  $y \notin S$ .
7. Note that  $(x, y)$  cannot be in Kruskal's graph at the time  $(v, w)$  was considered because otherwise  $y$  will have been in  $S$ .
8. Thus,  $(x, y)$  is more expensive than  $(v, w)$  because it came after  $(v, w)$  in Kruskal's scan order.
9. If we replace  $(x, y)$  with  $(v, w)$  in the optimal MST, it remains spanning and has lower cost, which contradicts its optimality.
10. So, the hypothesis that  $(v, w)$  is not in optimal must be false.

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# Divide and Conquer Algorithms

- A general paradigm for algorithm design; inspired by emperors and colonizers.
1. Divide the problem into smaller problems.
  2. Conquer by solving these problems.
  3. Combine these results together.

## Binary Search

- Search for  $x$  in sorted array  $A$ .
- If  $x$  is equal to the middle element of  $A$ , search is complete
- If  $x$  is less than the middle element of  $A$ , search on the left half of  $A$
- Else, search on the right half of  $A$

### Time Complexity

- Let  $T(n)$  denote the worst-case time to binary search in an array of length  $n$ .
- Recurrence is  $T(n) = T(n/2) + O(1)$ .
- $T(n) = O(\log n)$

```
In [2]: def binarySearch(target: int, arr: list, left: int, right: int) -> int:
        if left > right:
            return -1

        middle = (left + right) // 2
        if target == arr[middle]:
            return middle
        elif target < arr[middle]:
            return binarySearch(target, arr, left, middle - 1)
        else: #target > arr[middle]
            return binarySearch(target, arr, middle + 1, right)

print(binarySearch(-1, list(range(10)), 0, 9))
print(binarySearch(10, list(range(10)), 0, 9))
print(binarySearch(5, list(range(10)), 0, 9))
```

## Merge Sort

- Sort an unsorted array of numbers  $A$
- If array is one element, return  $A$
- Otherwise, recursively call mergesort on the left and right halves of  $A$
- Then, merge the sorted result of the left and right halves of  $A$

### Time Complexity

- Let  $T(n)$  denote the worst-case time to merge sort an array of length  $n$ .

- Recurrence is  $T(n) = 2T(n/2) + O(n)$ .
- $T(n) = O(n \log n)$

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## Multiplying Numbers

- We want to multiply two  $n$ -bit numbers. Cost is number of elementary bit steps.
- Grade school method has  $O(n^2)$  cost:  $n^2$  multiplies,  $n^2/2$  additions, plus some carries.

### Karatsuba's Algorithm

- Let  $X$  and  $Y$  be two  $n$ -bit numbers. Write  $X = ab$ ,  $Y = cd$  where  $ab$  and  $cd$  are concatenated to form an  $n$ -bit number.
- $a, b, c, d$  are  $n/2$  bit numbers. (Assume  $n = 2^k$ .)  

$$XY = (a2^{n/2} + b)(c2^{n/2} + d) = ac2^n + (ad + bc)2^{n/2} + bd$$
- Note that  $(a - b)(c - d) = (ac + bd) - (ad + bc)$ .
- Solve 3 subproblems:  $ac, bd, (a - b)(c - d)$ .
- We can get all the terms needed for  $XY$  by addition and subtraction!

### Time Complexity

- The recurrence for this algorithm is  $T(n) = 3T(n/2) + O(n) = O(n^{\log_2(3)})$ .
- The complexity is  $O(n^{\log_2(3)}) = O(n^{1.59})$ .

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## Recurrence Solving

- Expand terms until a general formula is reached.
- Substitute for base case and solve.
- Can also use tree view with number of levels and work per level.
- Can solve by induction.

### Master Method

- Recurrence in the form

$$T(n) = O(n^{\log_b(a)}) + \sum_{i=0}^{\log_b(n)-1} a^i f\left(\frac{n}{b^i}\right)$$

- Let  $f(n) = O(n^p \log^k(n))$  where  $p, k \geq 0$
- Condition:  $a \geq 1, b > 1$  must be constant
- Case 1:  $p < \log_b a \Rightarrow n^{\log_b(a)}$  grows faster than  $f(n)$ . Thus,  $T(n) = O(n^{\log_b(a)})$ .
- Case 2:  $p = \log_b a \Rightarrow$  both terms have same growth rates, thus  $O(n^{\log_b(a)} \log^{k+1}(n))$
- Case 3:  $p > \log_b a \Rightarrow n^{\log_b(a)}$  grows slower than  $f(n)$ . Thus,  $T(n) = O(f(n))$

## Matrix Multiplication

- Multiply two  $n \times n$  matrices:  $C = A \times B$ .

### Traditional Algorithm

- Standard Method:  $C[i][j] = \sum_{k=1}^n A[i][k] \times B[k][j]$
- For every element in  $C$ , it takes  $O(n)$  computations.
- There are  $n^2$  elements in  $C$  so it takes  $O(n^3)$ .

### Strassen's Algorithm

- Let  $A, B$  be two  $n \times n$  matrices.
- Divide matrices  $A, B, C$  into four  $n/2 \times n/2$  submatrices.

$$A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}; B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}; C = \begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix}$$

- We can rewrite the product matrices as the following:

$$c_{11} = a_{11} * b_{11} + a_{12} * b_{21}$$

$$c_{12} = a_{11} * b_{12} + a_{12} * b_{22}$$

$$c_{21} = a_{21} * b_{11} + a_{22} * b_{21}$$

$$c_{22} = a_{21} * b_{12} + a_{22} * b_{22}$$

- However, the recurrence for this relation listed below solves to  $O(n^3)$ :

$$T(n) = 8T(n/2) + O(n^2)$$

- Can reduce to seven multiplications using the following matrices:

$$P_1 = (a_{11} + a_{22})(b_{11} + b_{22})$$

$$P_2 = (a_{21} + a_{22})(b_{11})$$

$$P_3 = (a_{11})(b_{12} - b_{22})$$

$$P_4 = (a_{22})(b_{21} - b_{11})$$

$$P_5 = (a_{11} + a_{12})(b_{22})$$

$$P_6 = (a_{21} - a_{11})(b_{11} + b_{12})$$

$$P_7 = (a_{12} - a_{22})(b_{21} + b_{22})$$

- We can rewrite the product matrices as the following:

$$c_{11} = P_1 + P_4 - P_5 + P_7$$

$$c_{12} = P_3 + P_5$$

$$c_{21} = P_2 + P_4$$

$$c_{22} = P_1 + P_3 - P_2 + P_6$$

- The recurrence for this relation listed below solves to  $O(n^{\log_2(7)}) = O(n^{2.81})$ :

$$T(n) = 7T(n/2) + O(n^2)$$

## Quicksort

- Simple, fast, and does not require extra space

## Algorithm

- Partition around a pivot, splitting into elements smaller than the pivot, denoted  $L$ , and elements greater than the pivot, denoted  $R$
- Sort  $L$  and  $R$  recursively
- Combine by appending  $R$  to  $L$

## Time Complexity

- $T(n)$  denotes the randomized runtime of Quicksort
- Each element randomly likely to be chosen as a pivot so there is  $1/n$  probability that  $i$  is the pivot.
- Recurrence denoted by the following relation:

$$T(n) = 1/n * \sum_{i=1}^n (T(i-1) + T(n-i)) + n + 1$$

$$T(n) = 2/n * \sum_{i=1}^n T(i-1) + n + 1$$

$$T(n) = 2/n * \sum_{i=0}^{n-1} T(i) + n + 1$$

$$(1) : n * T(n) = 2 * \sum_{i=0}^{n-1} T(i) + n^2 + n$$

$$(2) : (n-1) * T(n-1) = 2 * \sum_{i=0}^{n-2} T(i) + (n-1)^2 + (n-1)$$

- Subtract (2) from (1) to arrive at the following:

$$n * T(n) = (n+1) * T(n-1) + 2n$$

$$\frac{T(n)}{n+1} = \frac{T(n-1)}{n} + \frac{2}{n+1}$$

$$\frac{T(n)}{n+1} = \frac{T(n-2)}{n-1} + \frac{2}{n} + \frac{2}{n+1}$$

$$\frac{T(n)}{n+1} = \frac{T(2)}{3} + \sum_{i=3}^n \frac{2}{i}$$

$$\frac{T(n)}{n+1} = O(1) + 2 \ln(n)$$

- Thus,  $T(n) \leq 2(n+1) \ln(n)$ , which is linearithmic.

## Extrema Finding

- We can find the maximum and minimum in linear time with  $n$  comparisons.
- We can divide and conquer to find both the min and max in  $3n/2$  comparisons.

### Min Algorithm

- Initialize current minimum to be the first element.
- Iterate through the rest of the elements; if any element is less than the current minimum, set it as the new current minimum.

### Min Max Algorithm

- If the list  $A$  contains a single element,  $\min = \max = A[0]$ .
- Divide into two equal sublists  $A_1, A_2$  and recursively find both the min and the max of both sublists. Then, return the more extreme of the two results for each min and max.

### Time Complexity

- 2 calls on half the list + 2 comparisons has a recurrence of the following:

$$T(n) = 2T(n/2) + 2$$

Using the recurrence expansion method, we get...

$$\begin{aligned} T(n) &= 2 * (2 * T(n/2^2) + 2) + 2 = 2^2 * T(n/2^2) + 2^2 + 2 \\ T(n) &= 2^2 * (2 * T(n/2^3) + 2) + 2^2 + 2 = 2^3 * T(n/2^3) + 2^3 + 2^2 + 2 \end{aligned}$$

...

$$\begin{aligned} T(n) &= 2^i * T(n/2^i) + 2^i + \dots + 2 = 2^i * T(n/2^i) + 2(2^{i-1} + \dots + 2 + 1) \\ T(n) &= 2^i * T(n/2^i) + 2(2^i - 1) = 2^i * T(n/2^i) + 2 * 2^i - 2 \end{aligned}$$

Use  $T(2) = 1$ . Then  $n/2^i = 2$  when  $i = \log_2 n/2$

Substitute  $i$  to get the recursion  $T(n) = n/2 + 2 * n/2 - 2 = 3n/2 - 2$

```
In [3]: def findMin(l: list) -> float:
         minimum = l[0]
         for element in l[1:]:
             minimum = element if element < minimum else minimum
         return minimum
print(findMin(list(range(10, 0, -1))))
```

1

```
In [6]: def minMax(l: list) -> tuple:
        if len(l) == 1:
            return (l[0], l[0])
        elif len(l) == 2:
            return (l[0], l[1]) if l[0] < l[1] else (l[1], l[0])
        else:
            half = len(l) // 2
            min1, max1 = minMax(l[:half])
            min2, max2 = minMax(l[half:])
            minimum = min1 if min1 < min2 else min2
            maximum = max1 if max1 > max2 else max2
            return (minimum, maximum)
print(minMax(list(range(20))))
```

(0, 19)

## Linear Time Selection

- Find the item of rank  $k$  in the list (indexed 1 as smallest and  $n$  as largest).

### Algorithm

- Divide items into  $n/5$  groups of 5 each.
- Find the median of each group using sorting.
- Recursively find median of  $n/5$  group medians.
- Partition using median-of-medians,  $x$ , as a pivot.
- Let low side have  $s$  items and high side have  $n - s$  items. If  $k \leq s$ , call this algorithm on the low side. Else, call this algorithm on the high side for rank  $k - s$ .

### Correctness Proof

- The base case is trivial.
- If we call the low side, when  $k \leq x$ , we consider all items not in the quadrant greater than  $x$ . We use the inductive hypothesis to assume this recursion returns the correct result.
- Without loss of generality, we can apply this to the high side as well.

### Time Complexity

- Recursively finding the group median is a recursive call of  $T(n/5)$ .
- Recursively calling the low or high side is a recursive call of  $T(7n/10)$  as there are  $1/2 * n/5$  groups contributing at least 3 items to the opposite side.
- All other work can be done in linear time.
- The recurrence relation is the following:

$$T(n) \leq T(n/5) + T(7n/10) + O(n)$$

- We can inductively verify  $T(n) \leq cn$  for some constant  $c$ :

$$T(n) \leq c(n/5) + c(7n/10) + O(n)$$

$$T(n) \leq (9/10)cn + O(n) \leq cn$$

$$T(n) \leq O(n) \leq cn/10$$

- Choose  $c$  so that  $cn/10$  beats  $O(n)$  for all  $n$ . Thus,  $T(n) \leq cn$ , meaning it runs in linear time.

In [ ]: # CODE

## Convex Hulls

- Smallest convex shape that contains a set of points

### Algorithm

- Sort points by x-coordinates.
- Partition points into equal halves  $A$  (left) and  $B$  (right).
- Recursively compute the convex hull of  $A$  and  $B$ .
- Merge the convex hulls of  $A$  and  $B$  to arrive at the overall convex hull: start at the rightmost point  $a$  of  $A$  and leftmost point  $b$  of  $B$ ; while  $a, b$  is not the lower tangent of the convex hulls of  $A$  and  $B$ : move  $A$  clockwise around points of  $A$  until it is a tangent of  $A$ , move  $b$  counter clockwise until it is a tangent of  $B$ . Then, repeat the process for the upper tangent in the reverse direction. Remove edges that were travelled in the rotation.

### Correctness Proof

- Tangent of both objects does not cutoff any point
- Tangent of both objects also does not add any additional unnecessary space
- We explicitly check for tangent of both sides and remove unnecessary edges

### Time Complexity

- Initial sorting takes  $O(n \log(n))$ .
- Recurrence =  $T(n) = 2T(n/2) + O(n)$  with  $O(n)$  for tangent merging.
- Recurrence solves to  $O(n \log(n))$ .

In [ ]: # CODE